# Improved Pain and Quality of Life with Corrected Thoracic and Lumbosacral Spondylolisthesis Subluxations Using CBP<sup>®</sup>: A Case Study and 1-Year Follow-Up

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#### ABSTRACT

Objective: Discuss non-surgical spinal rehabilitation for a 27-year-old male with thoracic and lumbosacral spondylolistheses. A selective literature review and discussion are provided. Clinical Features: A 27-year-old male presented with severe, 8/10 mid and low back pain. Initial lateral thoracic and lumbar x-rays revealed grade 1 spondylolistheses at T9-T10 and L5-S1 measuring -5.3 mm and -6.8 mm. Interventions and Outcomes: The patient completed 60 sessions of Mirror Image® spinal adjustments, exercises, and traction over 30 weeks. Post-treatment x-rays showed correction in translations at T9-T10 and L5-S1 from -5.3 mm to 0.0 mm and -6.8 mm to -1.0 mm, within normal limits. 1-year follow-up x-rays showed maintained correction. Conclusions: This case is the first documented evidence of non-surgical or chiropractic treatment for thoracic and lumbosacral spondylolistheses where spinal alignment was corrected. More research is needed to investigate the clinical implications and applications.

## CASE REPORT

#### CASE REPORT

#### Patient Presentation

A 27-year-old male, standing 178 cm tall and weighing 81.6 kg presented with severe middle back pain (MBP) and low back pain (LBP) that he rated 8/10 on a scale where 0 is no pain and 10 is maximum pain. The patient reported no use of pharmaceuticals or supplements to help relieve his symptoms. The patient had a muscular, athletic physique and reported maintaining weight training, functional movement exercises, boxing workouts, and calisthenics throughout his MBP and LBP from before their onset. The patient reported treatment

from two different chiropractors since he was 22 years old at the onset of the MBP and LBP, but they were not successful in resolving his conditions.

#### **Previous Treatments**

The patient reported receiving 16 months of chiropractic treatment from a chiropractor that practiced the Pettibon System [1] at a consistency of 3 sessions every 2 weeks from 22 to 24 years of age for approximately 96 visits. The patient stated that at each visit, he received chiropractic spinal manipulation and that he would perform lumbopelvic exercises on a "therapeutic wobble chair" and cervical traction from a

"Cervical Traction Device" that hangs from a wall mount above the head [1]. The patient reported that MBP and LBP symptoms would improve following the chiropractic spinal manipulation, but that relief was short lived and would not last longer than the day that treatment was rendered.

The patient reported receiving 24 months of chiropractic treatment from a chiropractor that applied traditional, manual cervical, thoracic, and lumbopelvic spinal manipulations following Full Spine protocols at a consistency or 2 sessions every week from 24 to 26 years of age for approximately 180 visits. The patient reported that MBP and LBP symptoms would improve following the chiropractic spinal manipulation, but that relief was short-lived and would not last longer than the day that treatment was rendered.

#### Quadruple Visual Analog Scale

The patient described his mid and low back pain as severe 8/10 ache on a scale from 0 to 10 where 0 is no pain and 10 is maximum pain using a visual analog scale (VAS). On a VAS of 0 to 10, where 0 is no pain and 10 is maximum pain, the quadruple visual analog scale (QVAS) analyzes four factors: the pain at the time of evaluation; typical or average pain; the pain level at its best; and the pain level at its worst. Pain levels at the time of evaluation, average pain, and pain at its worst can be averaged and multiplied by ten to yield an intensity score ranging from 0 to 100. Low-intensity is defined as a score less than 50 and high-intensity is defined as a number greater than 50. On initial QVAS, the patient rated his pain as follows: current 8/10, best 1/10, worst 9/10, and average 8/10, for a total QVAS pain score of 83.3/100, indicating high-intensity pain. (Table 1)

# Short-Form 36 Health-Related Quality of Life Questionnaire

The Medical Outcomes Study (MOS), a four-year study that looked at health-related quality of life (HRQOL) based on healthcare outcomes, produced the Short Form-36 (SF-36) questionnaire for HRQOL. In research, the SF-36 has been the most often used HRQOL. The SF-36 is made up of 36 questions that provides scaled scores for nine domains on a scale of 0 to 100, with 0 indicating the lowest HRQOL and 100 indicating the highest. Physical functioning (PF), bodily pain (BP), role limitations due to physical health problems (RP), role limitations due to personal or emotional problems (RE), general mental health (MH), social functioning (SF), energy/fatigue or vitality (VIT), general health (GH), and change in health status ( $\Delta$ H) are the domains [2].

Initial SF-36 scores showed: PF was 65, BP was 47.5, RP was 0, RE was 100, MH was 84, SF was 75, VIT was 40, GH was 70, and  $\Delta$ H was 50. (Table 2)

#### Radiographic Analysis

The radiographic analysis is established in research as a valid assessment of spinal alignment, posture, and abnormalities such as subluxation. Spinal radiographs are taken with the patient in a standing, upright, neutral, weight-bearing position. Spinal abnormalities include "rotations and translations of the head, rib cage, and pelvis from a normal position in a 3-dimensional coordinate system" [3]. Spinal

radiograph analysis quantifies spinal alignment measurements and helps to determine specific approaches to structural rehabilitation of the spine.

The patient's radiographs were analyzed using the Harrison Posterior Tangent Method. The Harrison Posterior Tangent method is an established, valid, and reliable radiographic mensuration method [4-14] in accordance with the Harrison Spinal Model, which is a valid geometric spinal model [15-22]. Deviations from normal can be assessed for severity and used to ascertain the necessity and duration of treatment recommendations [5].

Lateral spinal radiographs allow for measurements of regional and intersegmental vertebral angles and regional and intersegmental vertebral translations. Vertebral angles are measured by drawing a line tangent to the posterior aspect of each vertebral body. Measurements, from one vertebral body to the next, determine the relative rotational angle (RRA). Measurements of a spinal region provide the absolute rotation angle (ARA). Drawing a vertical line from an inferior landmark and calculating the distance to a superior landmark perpendicular to the vertical line can be used to determine global anterior-to-posterior (AP) translations of the spine. The distance of a vertebra perpendicular to the posterior tangent line of the vertebra below is used to calculate intervertebral AP translations. All measurements and lines of mensuration are compared to valid, normal, ideal values.

The spinal radiographic analysis employs a right-hand, thumb up Cartesian coordinate system which identifies "translations and rotations of the head, thorax, and pelvis [in and] around x, y, and z-axes, in the coronal, sagittal, and transverse planes" [20]. Spinal alignment listings were documented using shorthand. The directions of translation or rotation concerning x, y, and z-axes and frontal, sagittal, and horizontal planes are indicated by the positive or negative sign. If no positive or negative sign is present, the measurement will be a positive or negative value indicating the directions of translations or rotations. The first letter stands for rotation (R) or translation (T). The second letter designates the axis in or around which the T or R occurs. The third letter indicates head (H), thorax (T), or pelvis (P) and the rotation or translation is in relation to the body region below. As a result, the head, thorax, and pelvis are evaluated in relation to the thorax, pelvis, and feet, respectively. Vertebral body listings may substitute the third letter to define specific vertebrae implicated in the spinal listing.

The pre-treatment neutral lateral cervical (NLC) radiograph (Figure 1A) shows ARA C2-C7 measuring  $-9.0^{\circ}$  (ideal is  $-42.0^{\circ}$ ), Tz C2-C7 measuring 21.8 mm (ideal is 0.0 mm), and cervical kyphosis (curve reversal) from C3-C5 measuring  $5.3^{\circ}$  (ideal is  $-16.0^{\circ}$ ). The pre-treatment lateral thoracic (LT) radiograph (Figure 2A) shows ARA T1-T12 measuring 20.9° (ideal is  $44.0^{\circ}$ ), Tz T1-T12 measuring 36.4 mm (ideal is 0.0 mm), grade 1 spondylolisthesis at T9-T10 measuring -5.3 mm (ideal is 0.0 mm), and thoracic lordosis (curve reversal) from T9-T12 measuring  $-4.8^{\circ}$  (ideal is  $9.0^{\circ}$ ). The pre-treatment lateral lumbar (LL) radiograph (Figure 3A) shows ARA L1-L5 measuring  $-23.7^{\circ}$  (ideal is  $-40.0^{\circ}$ ), Tz T12-

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S1 measuring -11.8 mm (ideal is 0.0 mm), grade 1 spondylolisthesis at L5-S1 measuring -6.8 mm (ideal is 0.0 mm), thoracolumbar kyphosis (curve reversal) from T12-L2 measuring  $8.6^{\circ}$  (ideal is  $-6.0^{\circ}$ ), and sacral base angle (SBA) measuring  $21.5^{\circ}$  (ideal is  $40.0^{\circ}$ ). The pre-treatment stitched lateral full spine (LFS) radiograph (Figure 4A) shows Tz C1-S1 measuring 40.1 mm (ideal is 0.0 mm), Tz C7-S1 measuring 22.7 mm (ideal is 0.0 mm), Tz T1-S1 measuring 19.6 mm (ideal is 0.0 mm). The radiographs were analyzed using computer-aided x-ray digitization on PostureRay® Electronic Medical Records (EMR) Software (PostureCo, Inc., Trinity, FL, USA). (Table 3)

## Treatment

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The patient completed 60 sessions of Chiropractic BioPhysics® (CBP®) Mirror Image® spinal exercises, adjustments, and traction over 30 weeks. Mirror Image® structural spinal rehabilitation involves moving the patient in the corrected or overcorrected, opposite postural position to normalize spinal alignment and posture [5].

## Mirror Image® Exercises

Mirror Image<sup>®</sup> exercises are corrective movements that strengthen weak musculature and lengthen tight musculature that have adapted to unhealthy spinal alignments and postures to correct and maintain spinal alignment and posture [3]. Exercises consist of contraction and relaxation cycles.

The patient was trained how to execute the exercises and monitored during the exercises. Mirror Image® exercises consisted of cervical extension, thoracic flexion and posterior translation, and lumbar extension while challenging core muscles of the thorax, abdomen, and pelvis. The patient was instructed to contract in the Mirror Image® position for 15 seconds and then relax for 5 seconds for a total of 5 to 10 minutes.

### Mirror Image® Adjustments

Mirror Image® adjustments were delivered to the patient using an OMNI elevation table with sectional drop-mechanisms table and an Impulse® adjusting instrument (Impulse® Adjusting Instrument, Neuromechanical Innovations, Chandler, AZ, USA). Adjustments in the Mirror Image® position stimulate mechanoreceptors and proprioceptors [23] responsible for relaying body position to the brain for awareness of body position in space [24] and rehabilitates the patient's central nervous system (CNS) to adjust to corrected posture [23].

Mirror Image® adjustments consisted of cervical extension, thoracic flexion and posterior translation, and lumbar extension adjustments applied with a downward force using the adjustment table drop mechanism and adjusting instrument.

## Mirror Image® Traction

Mirror Image® traction causes plastic viscoelastic deformation of the spine to an overcorrected position [3]. Mirror Image® traction restores normal spinal alignment and posture by stressing ligament, tendon, and muscle relaxation and initiating muscle creep, resulting in long-term restorative improvements [23].

Mirror Image® spinal traction consisted of cervical extension, thoracic flexion and posterior translation, and lumbar extension using Denneroll Spinal Orthotics (Denneroll Spinal Orthotics, New South Wales, Australia), the Total Target Force Counterstress Traction Unit (Total Target Force Counterstress Traction Unit, Promote Chiropractic, Inc., Saugus, MA, USA), Erickson Traction Fulcrum (Circular Traction Supply, Inc., Huntington Beach, CA, USA), CBP® Mirror Image® Blocks (CBP Seminars, Inc., Meridian, ID, USA), and the Robo-Trac Decompression and Traction Unit (Advanced Spinal Rehab, Middletown, NY, USA) [25]. The patient began with 6 minutes of traction per traction setup and worked up to 15-minute traction sessions per setup with each visit.

### Post-treatment Exam Findings

After 60 sessions over 30 weeks, post-treatment exam revealed improvements in middle and low back pain and disability and quality of life. The patient reported occasional pain and post-treatment QVAS showed improvement in the patient's pain levels at: current from 8/10 to 0/10, best from 1/10 to 0/10, worst from 9/10 to 4/10, and average from 8/10 to 2/10, for a total QVAS pain score of 20/100, indicating occasional, low-intensity pain. (Table 1)

The post-treatment SF-36 scores showed improvement in: PF from 65 to 90, BP from 47.5 to 90, RP from 0 to 100, SF from 75 to 87.5, VIT from 40 to 55, GH from 70 to 95, and  $\Delta$ H from 50 to 75 on a scale of 0 to 100 where 0 is the lowest HRQOL and 100 is the highest HRQOL. (Table 2)

The post-treatment radiographs were taken and compared with the pre-treatment assessment (Table 3). The post-treatment NLC radiograph (Figure 1B) shows improvements in ARA C2-C7 from -9.0° to -22.7° (ideal is -42.0°) and ARA C3-C5 cervical curve reversal was corrected from  $5.3^{\circ}$  to  $-10.3^{\circ}$  (ideal is -16.0°). Post-treatment LT radiograph (Figure 2B) shows improvements in ARA T1-T12 from 20.9° to 42.0° (ideal is 44.0°), Tz T1-T12 from 36.4 mm to 1.9 mm (ideal is 0.0 mm), Tz T9-T10 grade 1 spondylolisthesis was corrected from -5.3 mm to -0.1 mm (ideal is 0.0 mm), and ARA T9-T12 thoracic curve reversal from  $-4.8^{\circ}$  to  $5.2^{\circ}$  (ideal is 9.0°). Post-treatment LL radiograph (Figure 3B) shows improvements in ARA L1-L5 from -23.7° to -34.6° (ideal is -40.0°), Tz L5-S1 grade 1 spondylolisthesis was corrected from -6.8 mm to -0.9 mm (ideal is 0.0 mm), ARA T12-L2 thoracolumbar curve reversal was corrected from  $8.6^{\circ}$  to  $-2.7^{\circ}$  (ideal is  $-6.0^{\circ}$ ), and SBA from  $21.5^{\circ}$ to 29.6° (ideal is 40.0°). Post-treatment LFS radiograph (Figure 4B) shows improvements in Tz C1-S1 from 40.1 mm to 4.7 mm (ideal is 0.0 mm), Tz C7-S1 from 22.7 mm to -3.5 mm (ideal is 0.0 mm), and Tz T1-S1 from 19.6 mm to -2.5 mm (ideal is 0.0 mm).

## 1-Year Follow-Up Exam Findings

The patient continued treatment involving a regimen of chiropractic adjustments at a consistency of 1 to 2 times per week for another 1 year at which point a follow-up exam was performed. A one-year follow-up QVAS showed maintained improvements in his pain levels at: current 2/10, best 0/10 worst 4/10, and average 2/10 rendering a QVAS pain score of 26.7/100 indicating maintained occasional, low-intensity pain. (Table 1) A one-year follow-up SF-36 showed maintained

improvements in HRQOL in: PF at 65, BP at 90, RP at 100, RE at 100, MH at 84, SF at 100, VIT at 55, GH at 90, and  $\Delta$ H at 75. (Table 2)

A one-year follow-up radiographs were taken and compared with the previous radiographs (Table 3). A one-year follow-up NLC radiograph (Figure 1C) shows maintained improvements in ARA C2-C7 at -21.7° (ideal is -42.0°) and ARA C3-C5 cervical curve reversal correction at -9.3° (ideal is -16.0°). A one-year follow-up LT radiograph (Figure 2B) shows maintained improvements in ARA T1-T12 at 40.2° (ideal is 44.0°), Tz T1-T12 at 3.8 mm (ideal is 0.0 mm), Tz T9-T10 grade 1 spondylolisthesis correction at 0.0 mm (ideal is 0.0 mm), and ARA T9-T12 thoracic curve reversal correction at 4.3° (ideal is 9.0°). A one-year follow-up LL radiograph (Figure 3B) shows maintained improvements in ARA L1-L5 at -34.2° (ideal is -40.0°), Tz L5-S1 grade 1 spondylolisthesis correction at -1.0 mm (ideal is 0.0 mm), ARA T12-L2 thoracolumbar curve reversal correction at -2.8° (ideal is -6.0°), and SBA at 28.3° (ideal is 40.0°). A one-year follow-up LFS radiograph (Figure 4B) shows maintained improvements in Tz C1-S1 at 3.7 mm (ideal is 0.0 mm), Tz C7-S1 at -5.5 mm (ideal is 0.0 mm), and Tz T1-S1 at -3.5 mm (ideal is 0.0 mm).

The patient stated that he has felt a significant improvement in his spinal and overall health. He stated that he experienced occasional, minimal pain, but that he was enjoying his life, able to perform activities of daily living (ADL) and extended activities without limitation.

#### DISCUSSION

#### Etiology & Demographics:

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Spondylolisthesis is a vertebral subluxation defined as a vertebral translation relative to the vertebra below [26]. Over time, abnormal weight distribution, soft tissue laxity, and instability leads to joint hypermobility and buckling of the intervertebral disc (IVD) posterior annular fibers [27].

According to research, degenerative lumbar spondylolisthesis (LS) affects anywhere from six to 31 percent of the United States (US) population [28-30]. L4-S1 are the most common levels of degenerative anterolisthesis [28,29]. Female-to-male ratio for LS is 5:1 showing that females are five times more likely to suffer from anterolisthesis [30,31]. It is hypothesized that a predisposing factor may be changes in estrogen production and their effect on soft tissue [32]. Greater age, increased facet sagittalization, lumbar hypolordosis, increased body mass index (BMI) in females, and previous pregnancies, according to other studies, could all have a role in propensity [28,31,32]. According to Kalichman et al., there is a substantial link between degenerative LS and increasing age (p=0.0001). The prevalence of degenerative LS increased from 50 to 90 years old, according to the study [31]. "By decade, degenerative spondylolisthesis [LS] was present in 0% of <40years-olds; 2.1% of 40-49-years-olds, 10.8% of 50-59-yearsolds, 41.7% of 60–69-years-olds, and 16.7% of  $\geq$ 70-years-olds. The differences between age groups were highly significant, p=<0.0001 in the total sample [29]." Degenerative thoracic spondylolisthesis (TS) is very rare because of the rib cage and

facet joints of thoracic spine stabilizing the thoracic spine in the anteroposterior direction. A recent study reported that "there have been only 10 cases [of TS] reported in English literature [33]." As such, there is no conclusive epidemiological data on TS. However, from the available literature, "All reported cases of thoracic spondylolisthesis have occurred in people of Asian ethnicity [and] most of them were concomitant with lumbar spondylosis or diffuse idiopathic skeletal hyperostosis (DISH) [33]." In published cases with TS, the spinal imaging shows loss in or reversal of sagittal thoracic curvature at and surrounding the TS [33-37].

#### Clinical & Imaging Findings:

Poor overall health, physical function, emotional function, social function, and back pain and disability are all linked to abnormal spinal alignment and posture [38]. Sagittal spinal alignment with normal, healthy curvatures provides shock absorption and leverage, protecting the spinal cord and nerve tissue from the pressures of gravity and other daily stresses [39]. In men and women over the age of 55, abnormal sagittal spinal alignment and balance has been linked to an increase in injuries and falls [40,41]. According to additional studies, abnormal lumbar spine alignment and positional loading create compressive, tensile, and shearing stresses [42-45]. Intersegmental translations can occur and progress as a result of abnormal spinal alignment. Spinal fusion [46], anterior translation of the C7 plumb line [47-50], thoracic hypokyphosis [51-54], lumbar hypolordosis [47,55,56], lumbar hyperlordosis [48,49,51,53,54,57,58], posterior translation of the lumbar spine [57], and decreased SBA [47,48,53,55] all have a strong connection with spondylolisthesis. The impact of sagittal spinopelvic alignment and balance on the genesis and progression of spondylolisthesis is undeniable.

The patient in this case presented with a T9-T10 grade 1 TS with a posterior translation of -5.3 mm (ideal is 0.0 mm) and a L5-S1 lumbosacral grade 1 spondylolisthesis with a posterior translation of -6.8 mm. (Table 1) Table 1 also shows that the patient presented with anterior translation of the C7 plumb line, thoracic hypokyphosis and lordosis, lumbar hypolordosis, posterior translation of the lumbar spine, and decreased SBA. The posterior translation at T9-T10 was corrected to 0.0 mm (100% improvement) and the posterior translation of L5-S1 was corrected to -1.0 mm (85.3% improvement) as sagittal spinal balance, cervical, thoracic, and lumbar sagittal curvatures, and pelvic morphology was corrected. These results are consistent with the literature [47,48,53,55].

Abnormal spinal alignment and posture also increase stress and strain to the neural tissues and vascular supply of the spinal cord. This can affect the body's sensory, motor, and autonomic nervous systems. It is a rare phenomenon for the resolution of postural abnormalities in the absence of intervention [59]. However, there is literature that supports the effectiveness of structural spinal rehabilitation to restore a healthy spinal alignment and posture according to established, evidence-based normal values, thereby lowering the risk of degeneration [60-66].

#### Treatment & Prognosis:

In the US, more than 300,000 lumbar spinal fusions are performed annually, and the number is growing. Surgery to stabilize spondylolistheses accounts for many of these fusions [67]. The cost-effectiveness of surgical treatment for degenerative spondylolisthesis was investigated by Toteson et al. Quality-adjusted life years (QALY) were used to assess treatment success. By incorporating time spent in each health condition, QALYs account for both length and quality of life. The study indicated that people with degenerative spondylolisthesis had the highest cost per QALY gained through surgery (\$64,300) when compared to non-operative therapies [68]. Ong, et. al studied the expenditures of 1,672 elderly patients who had posterior spine fusions (PSF). Reoperation rates were 19.9%, 24.0%, and 28.0% at three months, one year, and two years after initial surgery, respectively [69].

Active physical therapy, exercise education or counseling, nonsteroidal anti-inflammatory drugs (NSAID), homeopathic remedies, soft tissue massage, trigger point therapy, spinal mobilization techniques to restricted areas, cryotherapy, and chiropractic are all non-surgical methods for degenerative spondylolisthesis that have been documented in the scientific literature [70-74]. An analysis on intention-to-treat indicated no substantial advantage to surgery over non-surgical therapy in patients with image-confirmed degenerative spondylolisthesis and symptoms lasting at least 12 weeks, according to Weinstein. Patients who received nonsurgical treatment showed a moderate improvement in all outcomes on average [70]. In studies of non-surgical spondylolisthesis treatment, patient improvement has been determined by outcome assessment tools (OAT) such as HRQOL and pain measures [70,71] or functional parameters such as orthopedic assessment(s), palpation, disability indices, muscle grading, strength assessment, ADL impairment, muscle atrophy, gait, neurological and sensory testing, and range of motion (ROM) [72-74]. For the sake of this case study, the terms spondylolisthesis AND chiropractic OR non-surgical treatment OR non-surgical correction were used in a search. In PubMed, there were 44, 26, and 2 results; in ScienceDirect, there were 511, 2,764, and 868 results; and in the Index to Chiropractic Literature research databases, there were 29, 0, and 0 results, respectively. Articles authored or translated in English, as well as those that featured a reduction in or correction of spinal misalignment linked with spondylolisthesis, were filtered out. In any of the searched research databases, there are 3 papers that meet this inclusion criteria. The studies that show non-surgical or chiropractic treatment improved spinal alignment and symptomatology associated with spondylolisthesis were CBP® studies [75-77].

#### Differential Diagnosis:

Lumbar compression fracture, lumbar canal stenosis, lumbar disc herniation, lumbar spondylolysis, and lumbar facet arthropathy make up the differential diagnosis for degenerative spondylolisthesis [78]. LBP and radiculopathy, for example, are common clinical signs of these disorders. Spondylolisthesis, on the other hand, is often worsened in extension and can be asymptomatic [78].

Matz, et al. published an evidence-based clinical guideline for diagnosing and treating degenerative lumbar spondylolisthesis in 2016. The guideline outlines the most effective diagnostic tests for degenerative LS. The most appropriate test for detecting spondylolisthesis is a lateral radiograph, which should be taken in an upright, weightbearing position whenever possible [79]. Flexion and extension radiographs of the lumbar spine may reveal lumbar spine instability due to spondylolisthesis [80]. For imaging spinal stenosis or facet joint effusion associated with spondylolisthesis, magnetic resonance imaging (MRI) is the best option [79]. "MRI provides a detailed view of the lumbar spine and conus medullaris. It is optimal for visualizing the soft tissue structures [80]." In the case of spondylolisthesis, plain myelography or computed tomography (CT) myelography can be used to assess spinal stenosis or nerve root impingement [79]. When an MRI is either contraindicated or inconclusive, CT myelography proves useful [79]. "Myelography provides a comprehensive picture of the entire lumbar spine and has the advantage of being done in the standing position, which accentuates spinal stenosis [80]." CT is effective in detecting spinal stenosis or nerve root impingement and offers a thorough image of the facet joint orientation when MRI and CT myelography are contraindicated or inconclusive [79,80].

#### Chiropractic BioPhysics® and Spondylolisthesis

Structural rehabilitation of the spine provides a healthy, conservative method of correcting abnormal spinal alignment and posture. Adverse mechanical tension and tissue deformation are caused by misalignment of the spine and postural abnormalities [81]. Degeneration of the spinal discs and facet joints occurs because of this mechanical strain [81]. Increased loading of the spine due to abnormal biomechanics has a negative impact on health processes including tissue growth and healing [82]. Long-term abnormal posture puts an unhealthy amount of tension on the surrounding neuronal tissues. The central nervous system is stressed by increased intramedullary and cerebrospinal fluid pressure, as well as a decrease in afferent and efferent nerve transmission when the spinal cord is tense [82].

In this study, increased gravitational loads and strains on the neuromuscular tissues were reduced by correcting cervical, thoracic, and lumbar spinal alignment and posture [25]. As a result, the spondylolistheses were corrected, and the concomitant symptoms were improved.

This case study adds to the body of evidence that CBP® structural spinal rehabilitation may be an effective long-term, conservative, non-surgical treatment for neuromusculoskeletal diseases such as spondylolisthesis and symptoms such as back pain, disability, and diminished HRQOL. This study is the first study to show long-term, conservative, non-surgical correction of thoracic and(or) lumbosacral spondylolisthesis. Structural spinal rehabilitation may be used as a preventative treatment against degenerative spine illnesses and their effects. The need for medicinal or invasive surgical procedures may be avoided by adopting structural spinal rehabilitation, specifically Chiropractic BioPhysics®, to correct spinal alignment and postural distortions. More high-quality research is needed, such as clinical trials involving structural spinal rehabilitation, surgery, and control groups with long-term follow-ups.

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#### TEACHING POINT

Thoracic and lumbosacral spondylolisthesis may be reduced or corrected when using structural spinal rehabilitation. Structural spinal rehabilitation to improve spinal alignment and posture may negate the need for medical or invasive surgical procedures for patients with symptomatic thoracic and lumbosacral spondylolisthesis.

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#### FIGURES

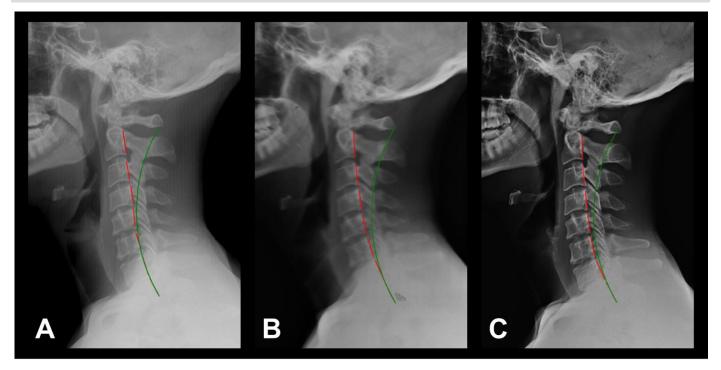


Figure 1: 27-year-old male with corrected thoracic and lumbosacral spondylolisthesis subluxations using CBP®

Description: A 27-year-old male who presented with severe, 8/10 middle and low back pain and a thoracic and lumbosacral spondylolistheses.

*Image Features:* The green line represents a normal, ideal cervical alignment. The red line represents the actual posterior tangent lines of the C2-C7 vertebrae. The posterior tangent lines are used to measure the ARA and RRA of the vertebrae listed following the ARA and RRA abbreviation.

*Findings:* A) Pre-treatment NLC radiograph shows ARA C2-C7 measuring -9.0° (ideal is -42.0°), Tz C2-C7 measuring 21.8 mm (ideal is 0.0 mm), and cervical kyphosis (curve reversal) from C3-C5 measuring  $5.3^{\circ}$  (ideal is -16.0°); B) Post-treatment NLC radiograph shows improvements in ARA C2-C7 from -9.0° to -22.7° and ARA C3-C5 cervical curve reversal was corrected from  $5.3^{\circ}$  to -10.3°; C) 1-year follow-up NLC radiograph shows maintained improvements in ARA C2-C7 at -21.7° and ARA C3-C5 cervical curve reversal correction at -9.3°.

Technique: 200mAs, 30mA, 86kVp, 72" FFD, Central Ray (CR) at C4.

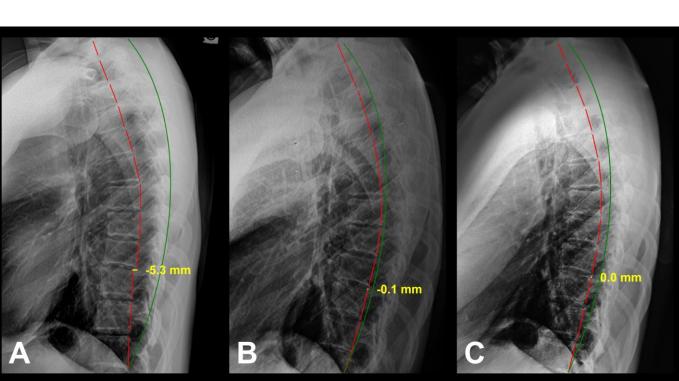


Figure 2: 27-year-old male with corrected thoracic and lumbosacral spondylolisthesis subluxations using CBP®

Description: A 27-year-old male who presented with severe, 8/10 middle and low back pain and a thoracic and lumbosacral spondylolistheses.

*Image Features:* The green line represents a normal, ideal thoracic alignment. The red line represents the actual posterior tangent lines of the T1-T12 vertebrae. The posterior tangent lines are used to measure the ARA and RRA of the vertebrae listed following the ARA and RRA abbreviation. The yellow line and text represent the spondylolisthesis measurement.

*Findings:* A) LT radiograph shows ARA T1-T12 measuring 20.9° (ideal is 44.0°), Tz T1-T12 measuring 36.4 mm (ideal is 0.0 mm), grade 1 spondylolisthesis at T9-T10 measuring -5.3 mm (ideal is 0.0 mm), and thoracic lordosis (curve reversal) from T9-T12 measuring -4.8° (ideal is 9.0°); B) Post-treatment LT radiograph shows improvements in ARA T1-T12 from 20.9° to 42.0°, Tz T1-T12 from 36.4 mm to 1.9 mm; Tz T9-T10 spondylolisthesis was corrected from -5.3 mm to -0.1 mm, and ARA T9-T12 thoracic curve reversal from -4.8° to 5.2°; C) 1-year follow-up LT radiograph shows maintained improvements in ARA T1-T12 at 40.2°, Tz T1-T12 at 3.8 mm, Tz T9-T10 spondylolisthesis correction at 0.0 mm, and ARA T9-T12 thoracic curve reversal correction at 4.3°.

Technique: 200mAs, 80mA, 80kVp, 40" FFD, Central Ray (CR) at T6.

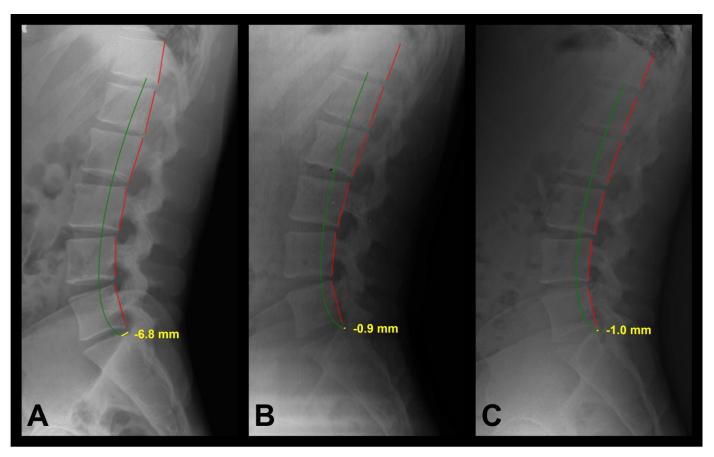


Figure 3: 27-year-old male with corrected thoracic and lumbosacral spondylolisthesis subluxations using CBP®

Description: A 27-year-old male who presented with severe, 8/10 middle and low back pain and a thoracic and lumbosacral spondylolistheses.

*Image Features:* The green line represents a normal, ideal lumbar alignment. The red line represents the actual posterior tangent lines of the T12-L5 vertebrae. The posterior tangent lines are used to measure the ARA and RRA of the vertebrae listed following the ARA and RRA abbreviation. The yellow line and text represent the spondylolisthesis measurement.

*Findings:* A) Pre-treatment LL radiograph shows ARA L1-L5 measuring -23.7° (ideal is -40.0°), Tz T12-S1 measuring -11.8 mm (ideal is 0.0 mm), grade 1 spondylolisthesis at L5-S1 measuring -6.8 mm (ideal is 0.0 mm), thoracolumbar kyphosis (curve reversal) from T12-L2 measuring 8.6° (ideal is -6.0°), and SBA measuring 21.5° (ideal is 40.0°); B) Post-treatment LL radiograph shows improvements in ARA L1-L5 from -23.7° to -34.6°, Tz L5-S1 spondylolisthesis was corrected from -6.8 mm to -0.9 mm, ARA T12-L2 thoracolumbar curve reversal was corrected from 8.6° to -2.7°, and SBA from 21.5° to 29.6°; C) 1-year follow-up LL radiograph shows maintained improvements in ARA L1-L5 at -34.2°, Tz L5-S1 spondylolisthesis correction at -1.0 mm, ARA T12-L2 thoracolumbar curve reversal correction at -2.8°, and SBA measuring 28.3°.

Technique: 200mAs, 200mA, 80kVp, 40" FFD, Central Ray (CR) at L4.

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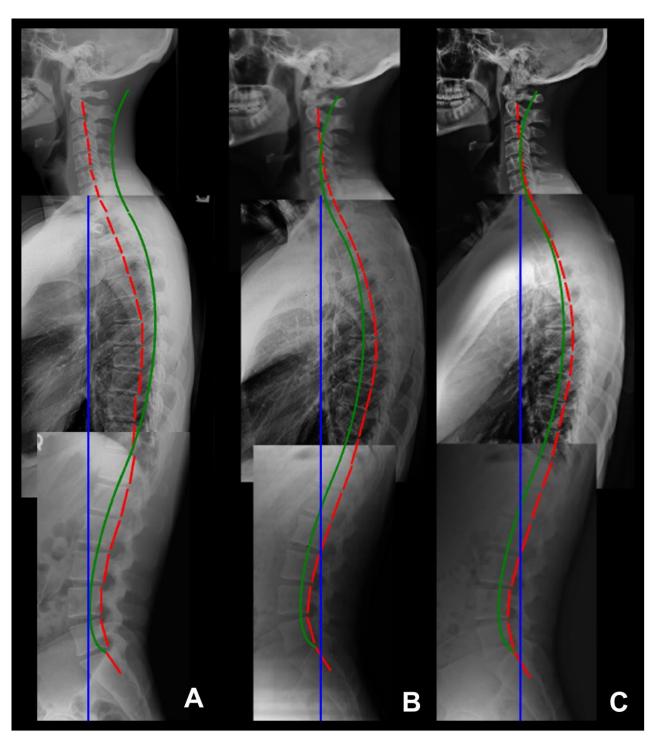


Figure 4: 27-year-old male with corrected thoracic and lumbosacral spondylolisthesis subluxations using CBP®

Description: A 27-year-old male who presented with severe, 8/10 middle and low back pain and a thoracic and lumbosacral spondylolistheses.

*Image Features:* The green line represents a normal, ideal cervical, thoracic, and lumbosacral alignment. The red line represents the actual posterior tangent lines of the C2-S1 vertebrae. The posterior tangent lines are used to measure the ARA and RRA of the vertebrae listed following the ARA and RRA abbreviation. The blue line represents C7 centroid plumb line.

*Findings:* A) Pretreatment stitched LFS radiograph shows Tz C1-S1 measuring 40.1 mm (ideal is 0.0 mm), Tz C7-S1 measuring 22.7 mm (ideal is 0.0 mm), Tz T1-S1 measuring 19.6 mm (ideal is 0.0 mm); B) Post-treatment stitched LFS radiograph shows improvements in Tz C1-S1 from 40.1 mm to 4.7 mm, Tz C7-S1 from 22.7 mm to -3.5 mm, and Tz T1-S1 from 19.6 mm to -2.5 mm; C) 1-year follow-up stitched LFS radiograph shows maintained improvements in Tz C1-S1 at 3.7 mm, Tz C7-S1 at -5.5 mm, and Tz T1-S1 at -3.5 mm.

Technique: Varied; see Figures 1-3 for respective x-ray technique factors.

ear Follow-Up

Pain Scale Domains	Pre-Treatment QVAS Values	Post-Treatment QVAS Values	1-Year Follow-up QVAS Values
Current	8/10	0/10	2/10
Best	1/10	0/10	0/10
Worst	9/10	4/10	4/10
Average	8/10	2/10	2/10
Intensity Score	83.3/100	20/100	26.7/100

**Table 1:** Comparison of Pre-Treatment, Post-Treatment, and 1-Year Follow-Up Quadruple Visual Analog Scale (QVAS) Values for Back Pain

Table 1 shows comparisons of pre-treatment, post-treatment, and 1-year follow-up values for back pain representing pain at the time of the assessment, pain as it has been at its best, pain as it has been at its worst, pain as it has been on average, and an intensity score calculated from the mean of current, worst, and average pain values.

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HRQOL Domains	Pre-Treatment SF-36 Values	Post-Treatment SF-36 Values	1-Year Follow-Up SF-36 Values
PF	65/100	90/100	90/100
BP	47.5/100	90/100	90/100
RP	0/100	100/100	100/100
RE	100/100	100/100	100/100
МН	84/100	84/100	84/100
SF	75/100	87.5/100	100/100
VIT	40/100	55/100	55/100
GH	70/100	95/100	90/100
ΔН	50/100	75/100	75/100

**Table 2:** Comparison of Pre-Treatment, Post-Treatment, and 1-Year Follow-Up Short-Form 36 Questionnaire Values for Health-Related Quality of Life

Table 2 shows comparisons of pre-treatment, post-treatment, and 1-year follow-up values for HRQOL in the following domains: physical functioning, bodily pain, role limitations due to physical health problems, role limitations due to personal or emotional problems, general mental health, social functioning, energy/fatigue or vitality, general health, and change in health status. PF = Physical Functioning

BP = Bodily Pain

RP = Role Limitations Due to Physical Health Problems

RE = Role Limitations Due to Emotional Problems

MH = General Mental Health

SF = Social Functioning

VIT = Vitality (Energy/Fatigue)

GH = General Health

 $\Delta H$  = Change in Health Status

	Normal	Pre-Treatment	Post-Treatment	1-Year Follow-Up			
Measurements	Values	Radiograph Values	<b>Radiograph Values</b>	Radiograph Values			
Cervical Spine	Cervical Spine						
ARA C2-C7	-42.0°	-9.0°	-22.7°	-21.7°			
Tz C2-C7	0.0 mm	21.8 mm	20.8 mm	19.0 mm			
ARA C2-C5	-26.0°	5.3°	-10.3°	-9.3°			
Thoracic Spine							
ARA T1-T12	44.0°	20.9°	42.0°	40.2°			
Tz T1-T12	0.0 mm	36.4 mm	1.9 mm	3.8 mm			
ARA T9-T12	9.0°	-4.8°	5.2°	4.3°			
Tz T9-T10	0.0 mm	-5.3 mm	-0.1 mm	0.0 mm			
Lumbar Spine							
ARA L1-L5	-40.0°	-23.7°	-34.6°	-34.2°			
Tz T12-S1	0.0 mm	-11.8 mm	-19.3 mm	-16.6 mm			
ARA T12-L2	-6.0°	8.6°	-2.7°	-2.8°			
Tz L5-S1	0.0 mm	-6.8 mm	-0.9 mm	-1.0 mm			
SBA	40.0°	21.5°	29.6°	28.3°			
Full Spine							
Tz C1-S1	0.0 mm	40.1 mm	4.7 mm	3.7 mm			
Tz C7 cen-S1 ps	0.0 mm	22.7 mm	-3.5 mm	-5.5 mm			
Tz T1-S1 pi	0.0 mm	19.6 mm	-2.5 mm	-3.5 mm			

**Table 3:** PostureRay® Comparison Evaluation of Normal, Pre-Treatment, Post-Treatment, and 1-Year Follow-Up Values forCervical, Thoracic, Lumbar, and Stitched Full Spine Radiographs

Table 3 shows comparisons of normal, pre-treatment, post-treatment, and 1-year follow-up radiograph values of cervical, thoracic and lumbar absolute rotational angles (ARA) of designated vertebrae, Tz of cervical, thoracic, lumbar, and full spine regions, the translations in the z-axis (Tz) of thoracic and lumbosacral spondylolistheses, ARAs of curve reversals in cervical, thoracic, and lumbar spine regions, and sacral base angle (SBA).

ARA = Absolute Rotational Angle between designated vertebrae

Tz = Translation in the z-axis

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SBA = Sacral Base Angle

cen = Centroid of a vertebra

ps = posterior superior aspect of a vertebra

pi = posterior inferior aspect of a vertebra

Fed	lorc	hul	c et	al

60-69-years-

lusculoskeletal Imp adiology:	roved Pain and Quality of Life with Corrected Thoracic and Lumbosacral Spondylolisthesis Subluxations Fedorchu Using CBP <sup>®</sup> : A Case Study and 1-Year Follow-Up
Spondylolisthesis	Summary
Etiology	<ul> <li>Translation of vertebra with respect to vertebra below</li> <li>Abnormal weight distribution, soft tissue laxity, and instability</li> <li>Excessive joint play and buckling of the IVD posterior annular fibers</li> <li>Abnormal spinal alignment and positional loading of the lumbar spine</li> <li>May present with low back pain, radiculopathy, or no symptoms</li> </ul>
Incidence	• 6-31% of the United States population suffers from degenerative spondylolisthesis
Gender Ratio	• Females:Males is 5:1.
Age Predilection	<ul> <li>Increase in prevalence from 50 to 90 years</li> <li>0% of &lt;40-years-olds; 2.1% of 40-49-years-olds, 10.8% of 50-59-years-olds, 41.7% of 60-69-year olds, and 16.7% of ≥70-years-olds</li> </ul>
Risk Factors	<ul> <li>Increased age, sex, increased facet sagittalization, lumbar hypolordosis, increased BMI in females, and past pregnancies</li> <li>Abnormal spinal alignment and posture</li> <li>Correlation with spinopelvic sagittal alignment factors</li> </ul>
Treatment	<ul> <li>Spinal fusion surgery to stabilize</li> <li>Non-surgical methods include active physical therapy, education or counseling for exercising, nonsteroidal anti-inflammatory drugs, homeopathic remedies, soft tissue massage, trigger point therapy, spinal mobilization techniques to restricted areas, cryotherapy, and chiropractic</li> </ul>
Prognosis	Degenerative condition unless the spine is stabilized
Findings on Imaging	<ul> <li>Most common level is L4-S1</li> <li>X-ray imaging shows extent of segmental translation</li> </ul>

• MRI shows extent of soft tissue damage

Table 4: Summary Table of Lumbar Spondylolisthesis in the Sagittal Plane.

Differential Diagnoses	Plain Radiography	Magnetic Resonance Imaging	Computed Tomography Myelography	Computed Tomography
	<ul> <li>Upright, weight bearing lateral lumbar view is most appropriate for detecting spondylolisthesis.<sup>[79]</sup></li> <li>Lateral thoracic or lumbar flexion and extension views may demonstrate instability.<sup>[80]</sup></li> </ul>	<ul> <li>Most appropriate for imaging spinal stenosis or facet joint effusion. <sup>[79]</sup></li> <li>Provides a detailed view of the thoracic or lumbar spine, spinal cord, and other soft tissue structures.<sup>[80]</sup></li> </ul>	<ul> <li>Useful in assessing spinal stenosis or nerve roots and when MRI is contraindicated or inconclusive. <sup>[79]</sup></li> <li>Provides a view of the entire thoracic or lumbar spine and is done in the standing position (accentuates spinal stenosis).<sup>[80]</sup></li> </ul>	<ul> <li>Useful when MRI and CT myelography are contraindicated or inconclusive.</li> <li>Useful in assessing spinal stenosis or nerve roots and provides a detailed view of the facet joints. <sup>[79,80]</sup></li> </ul>
Thoracic/Lumbar Spondylolisthesis	X	X	X	Х
Thoracic/Lumbar Compression Fracture	Х			
Thoracic/Lumbar Canal Stenosis		Х	Х	Х
Thoracic/Lumbar Disc Herniation		Х		
Thoracic/Lumbar Facet Arthropathy		Х		Х
Spondylolysis	Х			

Table 5: Differential Diagnosis Table for Thoracic and Lumbar Spondylolisthesis in the Sagittal Plane and Appropriate Imaging. X = indicates that the imaging procedure in the corresponding column is appropriate for the differential diagnosis in the corresponding row.

Imaging

Differential Diagnoses	Plain Radiography	Magnetic Resonance Imaging	Computed Tomography Myelography	Computed Tomography
Thoracic/Lumbar Spondylolisthesis	<ul> <li>Upright, weight bearing lateral thoracic or lumbar view is most appropriate for detecting spondylolisthesis.<sup>[79]</sup></li> <li>Lateral thoracic or lumbar flexion and extension views may demonstrate instability.<sup>[80]</sup></li> </ul>	<ul> <li>Most appropriate for imaging spinal stenosis or facet joint effusion. <sup>[79]</sup></li> <li>Provides a detailed view of the thoracic or lumbar spine, spinal cord, and other soft tissue structures.<sup>[80]</sup></li> </ul>	<ul> <li>Useful in assessing spinal stenosis or nerve roots and when MRI is contraindicated or inconclusive. <sup>[79]</sup></li> <li>Provides a view of the entire thoracic or lumbar spine and is done in the standing position (accentuates spinal stenosis).<sup>[80]</sup></li> </ul>	<ul> <li>Useful when MRI and CT myelography are contraindicated or inconclusive.</li> <li>Useful in assessing spinal stenosis or nerve roots and provides a detailed view of the facet joints. <sup>[79,80]</sup></li> </ul>
Thoracic/Lumbar Compression Fracture	<ul> <li>Upright, weight bearing lateral thoracic or lumbar view is most appropriate for detecting spondylolisthesis.<sup>[79]</sup></li> <li>Lateral thoracic or lumbar flexion and extension views may demonstrate instability.<sup>[80]</sup></li> </ul>			
Thoracic/Lumbar Canal Stenosis		<ul> <li>Most appropriate for imaging spinal stenosis or facet joint effusion. <sup>[79]</sup></li> <li>Provides a detailed view of the thoracic or lumbar spine, spinal cord, and other soft tissue structures.<sup>[80]</sup></li> </ul>	<ul> <li>Useful in assessing spinal stenosis or nerve roots and when MRI is contraindicated or inconclusive. <sup>[79]</sup></li> <li>Provides a view of the entire thoracic or lumbar spine and is done in the standing position (accentuates spinal stenosis).<sup>[80]</sup></li> </ul>	<ul> <li>Useful when MRI and CT myelography are contraindicated or inconclusive.</li> <li>Useful in assessing spinal stenosis or nerve roots and provides a detailed view of the facet joints. <sup>[79,80]</sup></li> </ul>
Thoracic/Lumbar Disc Herniation		<ul> <li>Most appropriate for imaging spinal stenosis or facet joint effusion. <sup>[79]</sup></li> <li>Provides a detailed view of the thoracic or lumbar spine, spinal cord, and other soft tissue structures.<sup>[80]</sup></li> </ul>		
Thoracic/Lumbar Facet Arthropathy		<ul> <li>Most appropriate for imaging spinal stenosis or facet joint effusion. <sup>[79]</sup></li> <li>Provides a detailed view</li> </ul>		• Useful when MRI and CT myelography are contraindicated or inconclusive.

Table 6: Differential Diagnosis Tables for Thoracic and Lumbar Spondylolisthesis in the Sagittal Plane and Appropriate Imaging.

of the thoracic or lumbar

spine, spinal cord, and

other soft tissue

structures.[80]

Upright, weight bearing

lateral thoracic or lumbar view is most appropriate for detecting spondylolisthesis.[79] Lateral thoracic or lumbar flexion and extension views may demonstrate instability.<sup>[80]</sup>

•

Thoracic/Lumbar

Spondylolysis

Useful in assessing

roots and provides a detailed view of the facet joints. <sup>[79,80]</sup>

spinal stenosis or nerve

•

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ABBREVIATIONS

 $\Delta H$  - Change in Health Status ° - degree ADL - Activities of Daily Living AP - Anterior-Posterior ARA - Absolute Rotational Angle ARA C2-C7 - Absolute Rotational Angle from C2 to C7 vertebrae BMI - Body Mass Index **BP** - Bodily Pain CBP® - Chiropractic BioPhysics® CNS - Central Nervous System CR - Central Ray CT - Computed Tomography DISH - Diffuse Idiopathic Skeletal Hyperostosis EMR - Electronic Medical Records FFD - Focal-Film Distance GH - General Health HRQOL - Health-related Quality of Life IVD - Intervertebral Disc kVp - kilovoltage peak LBP - Low Back Pain LFS - Lateral Full Spine LL - Lateral Lumbar LS - Lumbar Spondylolisthesis LT - Lateral Thoracic mA - milliampere mAs - milliampere second MBP - Middle Back Pain MH - General Mental Health mm - millimeter MOS - Medical Outcomes Study MRI - Magnetic Resonance Imaging NLC - Neutral Lateral Cervical NSAID - Non-steroidal Anti-inflammatory Drug PF - Physical Functioning **PSF** - Posterior Spine Fusions QALY - Quality-Adjusted Life Years QVAS - Quadruple Visual Analog Scale **RE - Role Limitations Due to Emotional Problems** ROM - Range of Motion RP - Role Limitations Due to Physical Health Problems RRA - Relative Rotational Angle SBA - Sacral Base Angle SF - Social Functioning SF-36 - Short Form 36-Question Survey Spinal Alignment Shorthand +/- \_ \_ \_ - direction of movement per the Cartesian coordinate systsem T<sub>\_</sub> - Translation along an axis per the Cartesian coordinate system R \_ \_ - Rotation around an axis per the Cartesian coordinate system \_x\_ - x-axis (in the body's frontal plane) per the Cartesian coordinate system \_y\_ - y-axis (in the body's sagittal plane) per the Cartesian coordinate system \_z\_ - z-axis (in the body's transverse plane) per the Cartesian coordinate system \_\_P - Pelvis (in relation to the feet)

\_\_T - Thoracic cage (in relation to the pelvis) \_\_H - Head (in relation to the thoracic cage) TS - Thoracic Spondylolisthesis Tz C2-C7 - Translation in the z-axis from C2 to C7 vertebrae US - United States VAS - Visual Analog Scale VIT - Vitality (Energy/Fatigue)

#### **KEYWORDS**

Thoracic spondylolisthesis; Lumbosacral spondylolisthesis, thoracic spine alignment; sagittal spinal alignment; sagittal spinal balance; Chiropractic BioPhysics; Mirror Image; Spinal Adjustments; Spinal Traction; Spinal Rehabilitation

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