Persistent proatlas with additional segmentation of the craniovertebral junction - The Tsuang-Goehmann-Malformation

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ABSTRACT

Case study description and analysis of a complex craniovertebral dysplasia in an 8-year-old male patient, in which conventional cervical spine radiographs demonstrated a regularly differentiated occipital base, as well as the presence of two lateral masses of the proatlas vertebra and two lateral masses of the atlas vertebra. Further assessment included computed tomography of the occipital base and the upper cervical spine as well as three-dimensional reconstruction. Malsegmentation of the fourth occipital vertebra can result in various anomalies that are known as 'manifestation of the proatlas'. The occurrence of a persistent proatlas with additional segmentation of the craniovertebral junction represents an extremely rare dysplasia. To our knowledge, it is the second report concerning the persistence of a complete human proatlas vertebra. We consider the biomechanical and embryological particularities of this complex dysplasia to represent sufficient basis for future differentiation from other malformations of the fourth occipital vertebra. Comprehensive literature review and discussion about the entity will be provided.

CASE REPORT

CASE REPORT

The complex craniovertebral dysplasia of an 8-year-old boy was analysed retrospectively. The child's parents sought advice from a specialist in pediatric orthopedics because of movement restriction of the cervical spine, occasional headaches, attention deficits, and suspect posture. Diagnostics included conventional radiography the upper cervical spine and computed tomography (CT) of the occipital base and the upper cervical spine with three-dimensional (3D) reconstruction. Clinical neuro-orthopedic evaluation revealed moderate

dysdiadochokinesia in both hands. No neurological deficits were observed. Inclination was decreased for the upper cervical range of motion.

Radiological evaluation showed serious malformation of the upper cervical spine with formation of 8 upper cervical spine segments (Fig. 2-4). A persistent proatlas (persisting bone material of the sixth somite in formation of the craniovertebral junction) with two lateral masses of the proatlas vertebra and its posterior arch was present above the actual atlas vertebra (Fig. 1-6, 8-11). Anterior arches of

proatlas and atlas vertebra had been replaced by connective tissue (Fig. 2-4, 8, 11). The lateral masses of the atlas vertebra were normally formed, while its posterior arch was hypoplastic without compression of the medulla spinalis (Fig. 2-4, 5, 10-11). An os odontoideum was located above the dens axis (Fig. 2,5,7,8).

Therapy primarily focused on posture training and prevention of cervical anteflexion (e.g. at school), as well as social-medical counselling. Regular follow-ups of the developmental changes in the cervical region and of the posture were planned.

DISCUSSION

Etiology & Demographics:

Manifestation of an additional suboccipital segment in humans, as normal in certain reptiles and dinosaur fossils, has been reported only once so far [1]. Tsuang et al. reported a case of proatlas manifestation [2].

Clinical & Imaging findings:

The radiological findings revealed 'two atlas-like vertebrae' between the occipital bone and the axis without obvious instability. To our knowledge, no previous report has described proatlas manifestation with an accessory suboccipital cervical spine segment. This unique malformation has not been previously described or analysed. Hence, the present documented case represents the second description of this type of malformation.

We consider the manifestation of a proatlas with additional suboccipital cervical spine segmentation should be differentiated from other manifestations of the proatlas, due to the biofunctional importance of an additional segment in the upper cervical spine and the anatomical aspects of its development [3, 4, 5]. Following the customary terminology of similar dysplasia and an appreciation of the first observers, we propose the term 'Tsuang-Goehmann malformation' to describe this condition. Specifically, the Tsuang-Goehmann malformation is characterised by the formation of an additional cervical segment of the spine above the atlas with the development of two lateral masses of the proatlas vertebra. Primary biomechanical function of the atlantooccipital joint is movement in the sagittal plane, especially inclination [6]. Accordingly this particular plane of motion was functionally impaired in the 8-year-old boy. However reclination movement compensated for this impaired movement.

Tsuang-Goehmann malformation appears to result from homeotic transformation. The craniocervical junction is displaced cranially by one single segment. From a phylogenetic perspective, the homeobox is a highly conservative deoxyribonucleic acid (DNA) segment responsible for the expression of regional margins [7]. Similar dysplasia has been observed in mice with experimentally altered homeobox genes [1]. A third occipital vertebra accompanies the repositioning of the craniocervical junction. The structures of the anteproatlas would directly influence the development of the craniocervical region in this case. The existence of two lateral masses of the proatlas vertebra with cranial and caudal articular surfaces is manifested by the formation of an additional cervical segment and displacement of the craniocervical junction. By contrast, manifestations commonly termed 'proatlas' are merely disorders of the differential process of the proatlas.

The Tsuang-Goehmann malformation represents its own developmental entity, and its pathogenetic background remains to be fully understood. The discovery of this dysplasia is another milestone in the on-going exploration of the development of the craniocervical region.

Treatment & Prognosis:

Therapy in this case primarily focused on posture training and prevention of cervical anteflexion (e.g. at school). Regular follow-ups of the developmental changes in the cervical region and of the posture were scheduled. The prognosis for the patient is unknown.

Differential Diagnoses:

Differential diagnoses of this exceptional and rare entity, despite incorrect determination of the number of cervical segments, do not exist to the author's knowledge.

Due to the complexity of the transition region, however, some special situations have to be considered and explained by their embryological formation [3].

Accessory bony malformations and / or dysplasia of proatlas formation origin:

The entity described, as well as the accessory occipital condyle, the persisting ossiculum terminale, the os odontoideum, the hypoplastic occipital condyles and the consequent basilar impression can be summarized as accessory bony malformations and / or dysplasia of proatlas formation origin. Particular feature of the accessory occipital condyle is its location at the midpoint on the anterior margin of the foramen magnum (basion) [7]. It can easily be detected on plain anterior-posterior (a.p.) and lateral X-ray films, under the condition that the base of the skull is well focused. Distinguishing feature of the ossiculum terminale persistens is its location above the tip of the dens axis; in comparison the os odontoideum is located above the base of the dens axis at the apical portion. Computed tomography is optional for verification in most cases. Hypoplasia of the occipital condyles is characterised by ascending of the tip of the axis over the digastric line (drawn between right and left digastric groove on plain a.p. films). This may be a possible indicator of the presence of a basilar impression. On lateral films the same situation is characterised by the tip ascending McRae's line (drawn between basion and opisthion). Analogously ascending over digastric line can be measured on coronal reconstructed CT images and over McRae's line on midsagittal reconstructed CT images. Basilar impression (BI) has to be differentiated into anterior BI due to platybasia or shortening of the clivus and medial BI due to hypoplasia of the condyles or Paget disease. In the majority of the cases computed tomography is used for verification of the suspected BI.

Dysplasia of atlas formation origin:

Assimilation of the atlas vertebra with the skull base and the malformations of the arches of C1 can be summarized as dysplasia of atlas formation origin [3]. In Atlas-Assimilation ossification of the skull base and the atlas vertebra can occur unilateral or bilateral. In case of suspect findings dynamic studies according to Sandberg-Gutmann (inclination / reclination) should be considered to draw conclusions about the stability of the particular situation [6]. Anomalies of the arches of C1 result from malfusion and relate to the anterior, the posterior, or less common, both arches. Hypoplasia as well as aplasia is possible. Dynamic studies (see above) may be helpful to clarify.

Dysplasia of atlas and axis formation origin:

Assimilation of C1 and C2 is classified as malformation of atlas and axis formation origin and is usually seen as characteristic unilateral or bilateral ossification of atlas and axis vertebra. It can easily be detected on plain a.p. and lateral X-ray films and rarely makes difficulties in differentiation.

Dysplasia of axis formation origin:

Defects of atlanto-axial segmentation, on the other hand, are classified as dysplasia of axis formation and represent as hemiaplasia or aplasia of the axis shoulder (unilateral / bilateral) or block vertebra with partial or complete fusion of the adjacent vertebral bodies [3]. Dynamic studies are recommended to make statements about the functional significance in most cases [6].

Even if anomalies of the odontoid process (seen as ossiculum terminale Bergmann, os odontoideum and os odontoideum verum) might occur due to proatlas formation disturbance (see above), an assignment to the group of axis formation origin is possible as well [3]. From embryological point of view, as well as from radiological point of view, precise clarification is difficult. Radiological imaging might be challenging in some cases. Anomalies of the arch of C2 result from malfusion. This dysplasia of axis formation origin is seen as partial hypoplasia, hyperplasia or aplasia. The first two mentioned rarely have clinical significance, making further diagnostic imaging unnecessary, when they occur isolated [6]. Fusion of C2 and C3, dysplasia of axis formation origin again, represent as block vertebra with partial or complete fusion of the adjacent vertebral bodies. In the mindset of Gutmann dynamic studies are obligatory to make statements about the functional impact and are recommended by the authors [6].

Conclusion:

In conclusion, we were able to retrospectively verify the existence of a persistent proatlas with additional segmentation of the cervical spine. This dysplasia is a unique entity and should therefore be differentiated from other disorders of the fourth occipital vertebra. Hence, we propose the term Tsuang-Goehmann malformation because of unique biomechanical and developmental particularities.

TEACHING POINT

The importance of radiological differential diagnostics of cervical dysfunction in children is still or more than ever controversial especially in manual medicine, which raises the question whether the requirement of x-ray-diagnostics prior to any manual manipulation treatment is necessary or not. With regard to the diagnosis of the spinal column valuable diagnostic information can be obtained by plain radiographs of the upper cervical spine. The indication for radiological diagnostics using computed tomography should be made carefully after consultation with the radiologist. The chosen case example from routine practice shows that a functional disorder of the upper cervical spine can be expression of extremely rare pathomorphological situation and that imaging diagnostic is essential in the clinical diagnostic setup.

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FIGURES

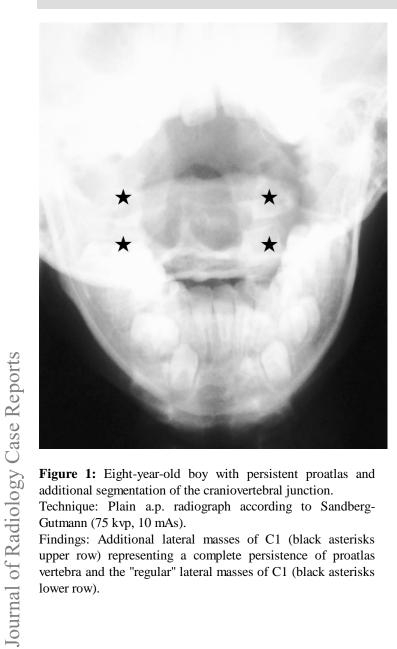


Figure 1: Eight-year-old boy with persistent proatlas and additional segmentation of the craniovertebral junction.

Technique: Plain a.p. radiograph according to Sandberg-Gutmann (75 kvp, 10 mAs).

Findings: Additional lateral masses of C1 (black asterisks upper row) representing a complete persistence of proatlas vertebra and the "regular" lateral masses of C1 (black asterisks lower row).



Figure 2: Eight-year-old boy with persistent proatlas and additional segmentation of the craniovertebral junction. Eight upper cervical spine segments.

Technique: Lateral plain radiograph according to Sandberg-Gutmann (75 kvp, 10 mAs).

Findings: Two posterior arches of C1 (white arrows) as well as normal C2 vertebra (white asterisk). The anterior arches of "regular" C1 and of the persisting proatlas vertebra are missing.



Figure 3: Eight-year-old boy with persistent proatlas and additional segmentation of the craniovertebral junction. Eight upper cervical spine segments.

Technique: Lateral plain radiograph in inclination according to Sandberg-Gutmann (75 kvp, 10 mAs).

Findings: Two posterior arches of C1 (white arrows). No obvious functional instability.

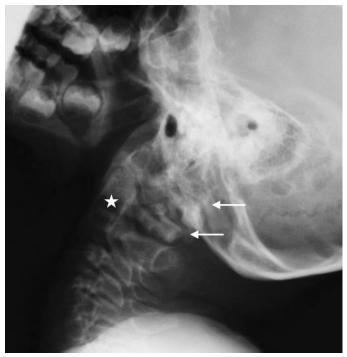


Figure 4: Eight-year-old boy with persistent proatlas and additional segmentation of the craniovertebral junction.

Technique: Lateral plain radiograph in reclination according to Sandberg-Gutmann (75 kvp, 10 mAs).

Findings: Two posterior arches of C1 (white arrows) as well as normal C2 vertebra (white asterisk). No functional instability in reclination.

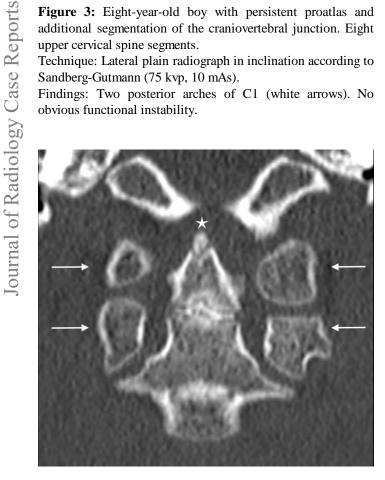


Figure 5: Eight-year-old boy with persistent proatlas and additional segmentation of the craniovertebral junction. Technique: Non contrast CT (Siemens, 110kV, 25mAs, 0,6 mm, 16,06 mGycm), coronal plane.

Findings: Lateral masses of 'two atlas-like vertebrae' (white arrows) - the proatlas vertebra (above) and the atlas vertebra (below) - as well as os odontoideum (white asterisk).

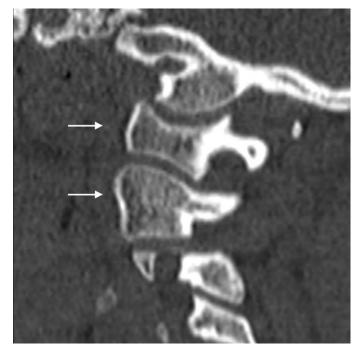


Figure 6: Eight-year-old boy with persistent proatlas and additional segmentation of the craniovertebral junction.

Technique: Non contrast CT (Siemens, 110kV, 25mAs, 0,6 mm, 16,06 mGycm), sagittal plane.

Findings: Occipital base and the lateral masses of 'two atlaslike vertebrae' (white arrows). Proatlas vertebra (above) and "regular" atlas vertebra (below).

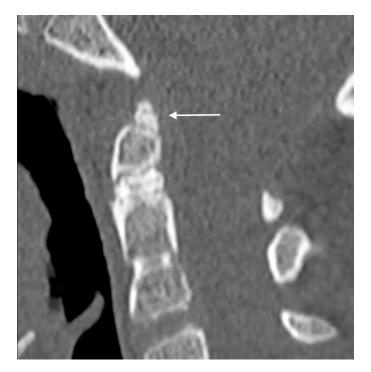


Figure 7: Eight-year-old boy with persistent proatlas and additional segmentation of the craniovertebral junction.

Technique: Non contrast CT (Siemens, 110kV, 25mAs, 0,6 mm, 16,06 mGycm), sagittal plane.

Findings: Os odontoideum at the top of dens axis (white arrow) with characteristic location. Clivus without malformation.

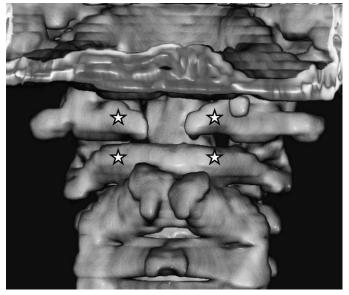


Figure 9: Eight-year-old boy with persistent proatlas and additional segmentation of the craniovertebral junction.

Technique: Non contrast CT (Siemens, 110kV, 25mAs, 0,6 mm, 16,06 mGycm). 3-D-reconstruction.

Findings: Posterior aspect with posterior arch of C2, proatlas (white asterisks upper row) and atlas vertebra (white asterisks lower row). Malfusion of posterior arch of the persisting proatlas whereas the posterior arch of the "regular" C1 is closed.

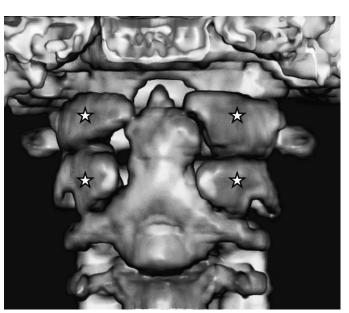


Figure 8: Eight-year-old boy with persistent proatlas and additional segmentation of the craniovertebral junction.

Technique: Non contrast CT (Siemens, 110kV, 25mAs, 0,6 mm, 16,06 mGycm). 3-D-reconstruction.

Findings: Anterior aspect with dens axis and the lateral masses of 'two atlas-like vertebrae' (white asterisks). Anterior arches of proatlas (above) and atlas vertebra (below) are missing. Os odontoideum at the top of dens axis with characteristic location. Dens axis without any sign of malformation.

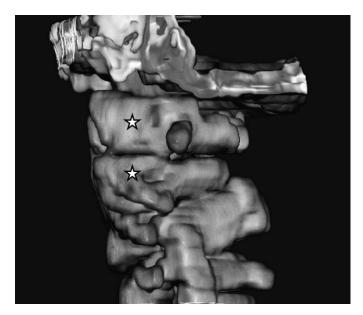


Figure 10: Eight-year-old boy with persistent proatlas and additional segmentation of the craniovertebral junction.

Technique: Non contrast CT (Siemens, 110kV, 25mAs, 0,6 mm, 16,06 mGycm). 3-D-reconstruction.

Findings: Left lateral aspect showing the lateral masses of the proatlas (white asterisk above) and atlas vertebra (white asterisk below) representing an additional segment of the upper cervical spine.

Musculoskeletal Radiology:

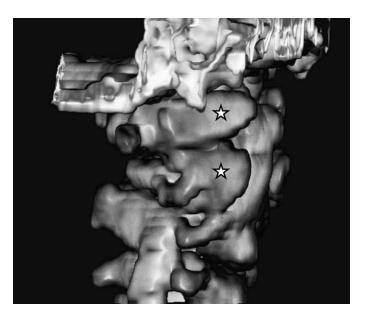


Figure 11 (left): Eight-year-old boy with persistent proatlas and additional segmentation of the craniovertebral junction.

Technique: Non contrast CT (Siemens, 110kV, 25mAs, 0,6 mm, 16,06 mGycm). 3-D-reconstruction.

Findings: Right lateral / anterior aspect showing the lateral masses of proatlas (above) and atlas vertebra (below) - (white asterisks). Malfusion of the anterior arches of proatlas (above) and atlas vertebra (below).

Etiology	homeotic transformation with cranial "shift" of the craniovertebral junction by one single segment		
Incidence	extremely rare, two cases (this included)		
Gender ratio	unknown		
Age predilection	unknown		
Risk factors	unknown		
Treatment	depending of stability / functional stability		
Prognosis	unknown		
Imaging findings	ging findings X-ray and CT: presence of two lateral masses of the proatlas vertebra and two lateral masses of the at		
	vertebra		

 Table 1: Summary table for Tsuang-Goehman-malformation.

Persistent proatlas with additional segmentation of the craniovertebral junction – The Tsuang-Goehmann-Malformation

Radiology:	The Is	suang-Goehmann-Malformation	
Pathology	X-ray a.p.	X-ray lat.	СТ
Tsuang-	 accessory bony formation of 	 accessory bony formation of 	 accessory bony formation of
Goehman-	proatlas origin	proatlas origin	proatlas origin
malformation	 located below occipital condyles 	 located below occipital condyles 	 located below occipital condyles
	and atlas vertebra	and atlas vertebra	and atlas vertebra
	• additional count of cervical spine	• additional count of cervical spine	• additional count of cervical
	vertebrae	vertebrae	spine vertebrae
Accessory	• accessory bony formation of	 accessory bony formation of 	• accessory bony formation of
occipital	proatlas origin	proatlas origin	proatlas origin
condyle	• located at the midpoint on the	• located at the midpoint on the	• located at the midpoint on the
	anterior margin of the foramen	anterior margin of the foramen	anterior margin of the foramen
	magnum (basion)	magnum (basion)	magnum (basion)
Ossiculum	 accessory bony formation of 	 accessory bony formation of 	• accessory bony formation of
terminale	proatlas origin	proatlas origin	proatlas origin
persistens	 located above dens axis 	 located above dens axis 	 located above dens axis
Os	 accessory bony formation of 	 accessory bony formation of 	• accessory bony formation of
odontoideum	proatlas origin	proatlas origin	proatlas origin
	• located at the apical portion of	• located at the apical portion of the	• located at the apical portion of
	the dens / above the base of dens	dens / above the base of dens axis	the dens / above the base of
	axis		dens axis
Hypoplasia	• dysplasia of proatlas formation	• dysplasia of proatlas formation	• dysplasia of proatlas formation
of occipital	origin	origin	origin
condyles	• tip of dens axis is ascending	• tip of dens axis is ascending	• tip of dens axis is ascending
	digastric line (drawn between	McRae's line (drawn between	digastric line (coronal
	right and left digastric grooves)	basion and opisthion)	reconstructed CT image) or
	• indicates the presence of basilar	• indicates the presence of basilar	McRae`s line (midsagittal
	impression	impression	reconstructed CT image)
			• indicates the presence of basilar
			impression
Basilar	• dysplasia of proatlas formation	• dysplasia of proatlas formation	• dysplasia of proatlas formation
impression	origin	origin	origin
(BI)	• tip of dens axis is ascending	• tip of dens axis is ascending	• tip of dens axis is ascending
	digastric line	McRae`s line	digastric line or McRae`s line
	• anterior BI due to platybasia or	• anterior BI due to platybasia or	• anterior BI due to platybasia or
	shortening of clivus	shortening of clivus	shortening of clivus
	• medial BI due to hypoplasia of	• medial BI due to hypoplasia of	• medial BI due to hypoplasia of
	condyles or Paget disease	condyles or Paget disease	condyles or Paget disease
Assimilation	• dysplasia of atlas formation	• dysplasia of atlas formation origin	• dysplasia of atlas formation
of Atlas vertebra	origin	• ossification of skull base and	origin
vertebra	• ossification of skull base and	Atlas vertebra (unilateral /	• ossification of skull base and
	Atlas vertebra (unilateral /	bilateral)	Atlas vertebra (unilateral /
	bilateral)	• in case of suspect findings	bilateral)
	• in case of suspect findings consider dynamic studies	consider dynamic studies	
Anomalies of	dysplasia of atlas formation	• dysplasia of atlas formation origin	dysplasia of atlas formation
arches (C1)	• dyspiasia of allas formation origin	 uysplasta of attas formation origin malfusion of anterior / posterior 	• dyspiasia of alias formation origin
	 malfusion of anterior / posterior 	• manusion of anterior / posterior arches (partial aplasia, hypoplasia,	 malfusion of anterior / posterior
	arches (partial aplasia,	aplasia)	arches (partial aplasia,
	hypoplasia, aplasia)	 in case of suspect findings 	hypoplasia, aplasia)
	 in case of suspect findings 	consider dynamic studies	nypopusia, apiasia)
	consider dynamic studies	consider dynamic studies	
Assimilation	dysplasia of atlas and axis	• dysplasia of atlas and axis	• dysplasia of atlas and axis
of C1/C2	formation origin	formation origin	formation origin
	 ossification of Atlas vertebra and 	 ossification of Atlas vertebra and 	 ossification of Atlas vertebra
	Axis vertebra (unilateral /	Axis vertebra (unilateral /	and Axis vertebra (unilateral /
	bilateral)	bilateral)	bilateral)
	 in case of suspect findings 	 in case of suspect findings 	() () () () () () () () () ()
	consider dynamic studies	consider dynamic studies	
L	consider dynamic studies	consider dynamic studies	

Table 2: Differential diagnosis table for craniocervical malformations and embryologic origin. (Continued on next page)

Persistent proatlas with additional segmentation of the craniovertebral junction – The Tsuang-Goehmann-Malformation

Pathology	V-ray a n	X-ray lat.	СТ
Defects of	X-ray a.p.dysplasia of axis formation	 A-ray lat. dysplasia of axis formation origin 	dysplasia of axis formation
atlanto-axial	origin	Hemiaplasia / Aplasia of Axis	origin
segmentation	Hemiaplasia / Aplasia of Axis	shoulder (unilateral / bilateral)	Hemiaplasia / Aplasia of Axis
	shoulder (unilateral / bilateral)	• block vertebra, (partial or	shoulder (unilateral / bilateral)
	• block vertebra, (partial or	complete fusion of adjacent	• block vertebra, (partial or
	complete fusion of adjacent	vertebral bodies)	complete fusion of adjacent
	vertebral bodies)	• in case of suspect findings	vertebral bodies)
	• in case of suspect findings	consider dynamic studies	
	consider dynamic studies		
Anomalies of	• dysplasia of axis formation	• dysplasia of axis formation origin	• dysplasia of axis formation
odontoid	origin (and / or proatlas	(and / or proatlas formation origin	origin (and / or proatlas
process	formation origin - see above)	- see above)	formation origin - see above)
	Ossiculum terminale	Ossiculum terminale	Ossiculum terminale
	• Os odontoideum	• Os odontoideum	• Os odontoideum
	• Os odontoideum verum	• Os odontoideum verum	• Os odontoideum verum
	• in case of suspect findings	• in case of suspect findings	
	consider dynamic studies	consider dynamic studies	
Anomalies of	• dysplasia of axis formation	• dysplasia of axis formation origin	• dysplasia of axis formation
arch (C2)	origin	 malfusion of posterior arch 	origin
	• malfusion of posterior arch	(partial, hypoplasia, hyperplasia,	• malfusion of posterior arch
	(partial, hypoplasia, hyperplasia,	aplasia)	(partial, hypoplasia, hyperplasia,
	aplasia)		aplasia)
Fusion of	• dysplasia of axis formation	• dysplasia of axis formation origin	• dysplasia of axis formation
C2/C3	origin	• block vertebra, (partial or	origin
	• block vertebra, (partial or	complete fusion of adjacent	• block vertebra, (partial or
	complete fusion of adjacent	vertebral bodies)	complete fusion of adjacent
	vertebral bodies)	• in case of suspect findings	vertebral bodies)
	• in case of suspect findings	consider dynamic studies	
	consider dynamic studies		

Table 2: Differential diagnosis table for craniocervical malformations and embryologic origin. (continued)

ABBREVIATIONS

3D - three-dimensional a.p. - anterior-posterior BI - basilar impression C1 - Atlas vertebra C2 - Axis vertebra C3 - third cervical vertebra CT - computed tomography DNA doovuriborwalaia an

DNA - deoxyribonucleic acid

lat. – lateral

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KEYWORDS

persistent proatlas; additional segmentation; manifestation of proatlas; manifestation of occipital vertebra; malformation; craniovertebral junction; cervical spine; occipital vertebra; atlas vertebra; computed tomography; CT scan; plain radiographs; X-ray; Sandberg-Gutmann

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